

# Mobius transformations and the related inequalities

Tamanna

*A dissertation submitted for the partial fulfillment of BS-MS dual  
degree in Science*



Indian Institute of Science Education and Research Mohali

December 2020



## Certificate of Examination

This is to certify that the dissertation titled “**Mobius Transformations- and the related inequalities**” submitted by **Tamanna** (Reg. No. MS15141) for the partial fulfilment of BS-MS dual degree programme of the Institute, has been examined by the thesis committee duly appointed by the Institute. The committee finds the work done by the candidate satisfactory and recommends that the report be accepted.

Dr. Pranab Sardar

Dr. Jotsaroop Kaur

Dr. Krishnendu Gongopadhyay

(Supervisor)

Dated: December 3, 2020



## Declaration

The work presented in this dissertation has been carried out by me under the guidance of Dr. Krishnendu Gongopadhyay at the Indian Institute of Science Education and Research Mohali.

This work has not been submitted in part or in full for a degree, a diploma, or a fellowship to any other university or institute. Whenever contributions of others are involved, every effort is made to indicate this clearly, with due acknowledgement of collaborative research and discussions. This thesis is a bonafide record of original work done by me and all sources listed within have been detailed in the bibliography.

Tamanna

(Candidate)

Dated: December 3, 2020

In my capacity as the supervisor of the candidate's project work, I certify that the above statements by the candidate are true to the best of my knowledge.

Dr. Krishnendu Gongopadhyay  
(Supervisor)



## Acknowledgement

With great pleasure, I express my deepest gratitude towards people and institution that have helped me all along the way to secure, initiate and successfully complete my MS thesis. I thank my guide Dr. Krishnendu Gongopadhyay, who was always there for me whenever needed and guided me throughout. Special thanks to my parents and family who were my support system and inspiration to me. Last but not least I would like to thank my friends Ankit and Harsh Pruthi for helping me and never letting me go down.

I also acknowledge the esteemed committee members Dr. Pranab Sardar, Dr. Jotsaroop Kaur and Dr. Krishnendu Gongopadhyay (supervisor).

Tamanna



## Notations

$\mathcal{M}$ :	Group of Mobius Transformations
$\tilde{\mathbb{C}}$ :	Extended complex plane $\mathbb{C} \cup \{\infty\}$
$tr(A)$ :	trace of A
$[f, g]$ :	commutator $fgf^{-1}g^{-1}$
$q(z_1, z_2)$ :	chordal distance between $z_1, z_2$
$\mathbf{j}$ :	$(0,0,1)$
$\tilde{H}$ :	upper half-space
$\tilde{f}$ :	Poincare extension of f in $\tilde{H}$
$A^*$ :	Hermitian transpose of A



# Contents

<b>Abstract</b>	<b>5</b>
<b>1 Introduction</b>	<b>7</b>
<b>2 Traces and the various norms</b>	<b>11</b>
2.1 Matrix Norm . . . . .	11
2.2 The chordal and hyperbolic norm . . . . .	17
2.3 Lower bounds for the chordal and matrix norms . . . . .	17
<b>3 Lower Bounds for Hyperbolic norms</b>	<b>19</b>
<b>4 Continued fractions</b>	<b>25</b>
4.1 Introduction . . . . .	25
4.2 Continued fractions . . . . .	26
4.3 Complex Möbius maps . . . . .	27
4.4 Möbius maps and hyperbolic geometry . . . . .	32
4.5 Convergence . . . . .	35
4.6 Strong divergence . . . . .	36
<b>Bibliography</b>	<b>39</b>



# Abstract

The aim is to study the Möbius transformations and the various norms related to it. These norms are defined by using three parameters which are invariant under conjugation. Using these parameters we define a two generator group. We then derive analogous results when this group is an arbitrary discrete subgroup of  $\mathcal{M}$ . Lastly, continued fractions is studied by examining action of Möbius maps in hyperbolic space as continued fractions can be regarded as sequence of Möbius maps.



# Chapter 1

## Introduction

We associate with each Möbius transformation

$$f = \frac{az + b}{cz + d} \in \mathcal{M}, \quad ad - bc = 1, \quad (1.1)$$

the matrix

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{C}) \quad (1.2)$$

and set  $\text{tr}(f) = \text{tr}(A)$ . Now  $\forall f, g \in \mathcal{M}$ , we define three complex numbers as

$$\beta(f) = \text{tr}^2(f) - 4, \quad \beta(g) = \text{tr}^2(g) - 4, \quad \gamma(f, g) = \text{tr}([f, g]) - 2 \quad (1.3)$$

$\beta(f), \beta(g)$  and  $\gamma(f, g)$  are called the parameters of the two generator subgroup  $\langle f, g \rangle$  and we write

$$\text{par}(\langle f, g \rangle) = (\gamma(f, g), \beta(f), \beta(g))$$

$\forall f \in \mathcal{M}$ , we can have two representation matrices say A and B. Then  $\text{tr}^2(A) = \text{tr}^2(B)$ . If A and B are representation matrices for Möbius transformations f and g then they are determined to within a factor of -1 and so trace of commutator is uniquely determined. Thus the parameters are uniquely defined and are independent of the choice of representations for f and g. Thus  $\langle f, g \rangle$  is uniquely determined upto conjugacy whenever  $\gamma(f, g)$  is non-zero.

We define chordal distance between  $z_1, z_2 \in \tilde{\mathbb{C}}$  as

$$q(z_1, z_2) = \frac{2|z_1 - z_2|}{(|z_1|^2 + 1)^{1/2}(|z_2|^2 + 1)^{1/2}} \quad (1.4)$$

and

$$q(z_1, \infty) = \frac{2}{(|z_1|^2 + 1)^{1/2}}$$

$z_1$  and  $z_2$  are antipodal if  $q(z_1, z_2) = 2$ . We define a Möbius transformation  $f$  as a chordal isometry if

$$q(f(z_1), f(z_2)) = q(z_1, z_2) \quad \forall z_1, z_2 \in \tilde{\mathbb{C}}$$

Define

$$d(f, g) = \sup\{q(f(z), g(z)) : z \in \tilde{\mathbb{C}}\} \quad (1.5)$$

This is a metric on  $\mathcal{M}$ .

**Theorem 1.0.1.** *Let  $G$  be a subgroup of  $\mathcal{M}$ . Then  $G$  is discrete if  $\exists$  a constant  $d=d(G)>0$  st*

$$d(f, g) \geq d \quad (1.6)$$

*for every distinct  $f$  and  $g$  in  $G$ .  $G$  is nonelementary if its limit set  $L(G)$  has cardinality  $\geq 2$ ,  $G$  is Fuchsian if some disk or half plane  $D$  is preserved by each element of  $G$  and  $G$  is purely elliptic if each element of  $G$  is elliptic or the identity.*

**Theorem 1.0.2.** *If  $\langle f, g \rangle$  is nonelementary and discrete, then*

$$|\gamma(f, g)| + |\beta(f)| \geq 1, \quad |\gamma(f, g)| + |\beta(g)| \geq 1 \quad (1.7)$$

For  $f, g \in \mathcal{M}$ , if  $f$  or  $g$  is close to id,  $\beta(f)$  or  $\beta(g)$  will be small along with  $\gamma(f, g)$ . (1.7) will then imply that  $\langle f, g \rangle$  is nonelementary and discrete.

Now we define the three norms for the given Möbius Transformation  $f$  :

(i) Matrix norm: If  $f$  is represented by the  $A$  as given in (1.2), we define matrix norm as follows,

$$m(f) = \|A - A^{-1}\| = (2|a - d|^2 + 4|b|^2 + 4|c|^2)^{\frac{1}{2}} \quad (1.8)$$

where  $||A|| = \text{tr}(AA^*)^{\frac{1}{2}}$  denotes the Euclidean norm of matrix  $A \in GL(2, \mathbb{C})$  and  $A^*$  is Hermitian transpose of  $A$ .

(ii) Chordal norm: Chordal norm is defined in terms of the metric given in (1.5) as

$$d(f) = d(f, id) = \sup\{q(f(z), z) : z \in \tilde{\mathbb{C}}\} \quad (1.9)$$

(iii) Hyperbolic norm: Hyperbolic norm for  $f$  is given as

$$\varrho(f) = \varrho_{\tilde{H}}(\tilde{f}(\mathbf{j}), \mathbf{j}) \quad (1.10)$$

where  $\varrho_{\tilde{H}}$  is the hyperbolic distance in upper half-space with curvature -1.

These norms remain invariant wrt conjugation by chordal isometries.

In its action on  $\tilde{\mathbb{C}}$ , a Möbius transformation  $g$  has exactly one fixed point, exactly two fixed points or is the identity. This classification is invariant under conjugation.

Certain normalized Möbius transformations are:

For every non-zero  $k$  in  $\mathbb{C}$  we define

$$m_k(z) = kz \quad (k \neq 1) \quad (1.11)$$

and

$$m_1(z) = z + 1; \quad (1.12)$$

These are called the standard forms.  $\forall k$ ,

$$\text{tr}^2(m_k) = k + \frac{1}{k} + 2 \quad (1.13)$$

If  $g(\neq I)$  is any Möbius transformation then either  $g$  has exactly two fixed points  $\alpha$  and  $\beta$  in  $\tilde{\mathbb{C}}$  or  $g$  has a unique fixed point  $\alpha$  in  $\tilde{\mathbb{C}}$ . We choose  $\beta$  to be a distinct point other than  $\alpha$ . Let  $h$  be a Möbius transformation st

$$h(\alpha) = \infty, \quad h(\beta) = 0, \quad h(g(\beta)) = 1 \quad \text{if } g(\beta) \neq \beta, \quad (1.14)$$

Then,

$$hgh^{-1}(\infty) = \infty, \quad hgh^{-1}(0) = 0 \quad \text{if} \quad g(\beta) = \beta, \quad hgh^{-1}(0) = 1 \quad \text{if} \quad g(\beta) \neq \beta \quad (1.15)$$

If  $g$  fixes both  $\alpha$  and  $\beta$ , then  $hgh^{-1}$  fixes 0 and  $\infty$  and for some  $k \neq 1$ , we have  $hgh^{-1} = m_k$ . If  $g$  fixes  $\alpha$  only then  $hgh^{-1}$  fixes  $\infty$  only and we have  $hgh^{-1}(0) = 1$ ; and hence  $hgh^{-1} = m_1$ . This proves that any Möbius transformation  $g(\neq I)$  is conjugate to one of the standard forms  $m_k$ .

# Chapter 2

## Traces and the various norms

### 2.1 Matrix Norm

The following lemma checks how far A and B commute:

**Lemma 2.1.1.** *For  $A, B \in SL(2, \mathbb{C})$ , we have*

$$\|AB - BA\|^2 \leq \frac{1}{8} \|A - A^{-1}\|^2 \|B - B^{-1}\|^2 \quad (2.1)$$

This is sharp inequality.

*Proof.* Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and  $B = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ . Then

$$\begin{aligned} \|AB - BA\|^2 &= 2|b\gamma - c\beta|^2 + |b(\alpha - \delta) - \beta(a - d)|^2 + |c(\alpha - \delta) - \gamma(a - d)|^2 \\ &= (|b|^2 + |c|^2) |\alpha - \delta|^2 + (|\beta|^2 + |\gamma|^2) |a - d|^2 + u \end{aligned}$$

where we can look u as

$$u = 2|b\gamma - c\beta|^2 - 2\operatorname{Re}((\bar{a} - \bar{d})(\alpha - \delta)(b\bar{\beta} + c\bar{\gamma}))$$

and further,  $\frac{1}{8} \|A - A^{-1}\|^2 \|B - B^{-1}\|^2 = 2 \left( \frac{1}{2} |a - d|^2 + |b|^2 + |c|^2 \right) \left( \frac{1}{2} |\alpha - \delta|^2 + |\beta|^2 + |\gamma|^2 \right)$

$$= (|b|^2 + |c|^2) |\alpha - \delta|^2 + (|\beta|^2 + |\gamma|^2) |a - d|^2 + v$$

where v can be,  $v = \frac{1}{2} |a - d|^2 |\alpha - \delta|^2 + 2(|b|^2 + |c|^2) (|\beta|^2 + |\gamma|^2)$

$$= \frac{1}{2} |a - d|^2 |\alpha - \delta|^2 + 2|b\bar{\beta} + c\bar{\gamma}|^2 + 2|b\gamma - c\beta|^2$$

So,

$$v - u = \frac{1}{2}|a - d|^2|\alpha - \delta|^2 + 2 \operatorname{Re}((\bar{a} - \bar{d})(\alpha - \delta)(b\bar{\beta} + c\bar{\gamma})) + 2|b\bar{\beta} + c\bar{\gamma}|^2 \quad (2.2)$$

therefore,

$$\begin{aligned} & \frac{1}{8} \|A - A^{-1}\|^2 \|B - B^{-1}\|^2 - \|AB - BA\|^2 \\ &= 2 \left| \frac{1}{2}(\bar{a} - \bar{d})(\alpha - \delta) + (\bar{b}\beta + \bar{c}\gamma) \right|^2 \geq 0 \end{aligned} \quad (2.3)$$

For  $b=c=0$  and  $\alpha = \delta$ , the right hand sides of above equation vanishes and we obtain equality.  $\square$

**Lemma 2.1.2.** *If  $C$  is in  $GL(2, \mathbb{C})$ , then we can write,*

$$|\det(C)| \leq \frac{1}{2}\|C\|^2 \quad (2.4)$$

For  $C \in SL(2, \mathbb{C})$ , we have

$$\det(C - I) = 2 - \operatorname{tr}(C) \quad (2.5)$$

**Lemma 2.1.3.** *For  $f$  and  $g$  belonging to  $\mathcal{M}$ , we have:*

$$|\beta(f)| \leq \frac{1}{2}m(f)^2 \quad (2.6)$$

and,

$$|\gamma(f, g)| \leq \frac{1}{16}m(f)^2m(g)^2 \quad (2.7)$$

Both of above inequalities are sharp.

*Proof.* If  $f$  and  $g$  are represented by matrices  $A$  and  $B$  in  $SL(2, \mathbb{C})$ , then by setting  $C = A^2$  in 2.5, we get

$$\begin{aligned} |\beta(f)| &= |\operatorname{tr}^2(A) - 4| = |\operatorname{tr}(A^2) - 2| = |\det(A^2 - I)| \\ &= |\det(A - A^{-1})| \leq \frac{1}{2}\|A - A^{-1}\|^2 = \frac{1}{2}m(f)^2 \end{aligned} \quad (2.8)$$

Now considering C matrix as commutator of A and B, we get

$$\begin{aligned} |\gamma(f, g)| &= |\operatorname{tr}([A, B]) - 2| = |\det((AB)(BA)^{-1} - I)| = |\det(AB - BA)| \\ &\leq \frac{1}{2} \|AB - BA\|^2 \leq \frac{1}{16} \|A - A^{-1}\|^2 \|B - B^{-1}\|^2 = \frac{1}{16} m(f)^2 m(g)^2 \end{aligned} \quad (2.9)$$

So if

$$f = a^2 z \text{ and } g = \frac{bz + c}{cz + b}$$

then the equality holds.

□

**Lemma 2.1.4.** *If  $f \in \mathcal{M}$  with  $\operatorname{fix}(f) = \{z_1, z_2\}$ ,*

$$|\beta(f)| = \frac{1}{2} \frac{q(z_1, z_2)^2}{8 - q(z_1, z_2)^2} m(f)^2 \quad (2.10)$$

*Proof.* For  $z_1 = -r$  and  $z_2 = r$ . f can be represented by,

$$A = \begin{pmatrix} a & br \\ br^{-1} & a \end{pmatrix}, \quad b^2 = a^2 - 1$$

$$\beta(f) = \operatorname{tr}(A)^2 - 4 = 4b^2, \quad q(-r, r) = \frac{4r}{r^2 + 1} \quad (2.11)$$

and so,

$$m(f)^2 = \|A - A^{-1}\|^2 = (r^2 + r^{-2}) |2b|^2 = 2 \frac{8 - q(-r, r)^2}{q(-r, r)^2} |\beta(f)| \quad (2.12)$$

□

**Lemma 2.1.5.** *If  $f$  and  $g$  are in  $\mathcal{M}$  with  $\operatorname{fix}(f) = \{z_1, z_2\}$  and  $\operatorname{fix}(g) = \{w_1, w_2\}$ , then*

$$\gamma(f, g) = \beta(f)\beta(g)R \quad (2.13)$$

where

$$R = \frac{(z_1 - w_1)(z_2 - w_1)(z_1 - w_2)(z_2 - w_2)}{(z_1 - z_2)^2(w_1 - w_2)^2} \quad (2.14)$$

*Proof.* Since

$$A = \begin{pmatrix} a & b \\ b & a \end{pmatrix}, \quad B = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \quad \gamma \neq 0$$

so for

$$z_1 = -1, z_2 = 1, w_1 \neq \infty, w_2 \neq \infty$$

and  $\gamma(f, g)$  can be expressed as,

$$\begin{aligned} \gamma(f, g) &= b^2 ((\gamma - \beta)^2 - (\alpha - \delta)^2) = b^2 \gamma^2 ((1 + w_1 w_2)^2 - (w_1 + w_2)^2) \\ &= b^2 \gamma^2 (z_1 - w_1)(z_2 - w_1)(z_1 - w_2)(z_2 - w_2) = \beta(f)\beta(g)R \end{aligned} \quad (2.15)$$

Also,

$$|R| = \frac{q(z_1, w_1)q(z_2, w_1)q(z_1, w_2)q(z_2, w_2)}{q(z_1, z_2)^2 q(w_1, w_2)^2} \quad (2.16)$$

□

**Lemma 2.1.6.** *If  $f_1$  and  $f_2$  are in  $\mathcal{M}$  with  $\text{fix}(f_1) \cap \text{fix}(f_2) \neq \emptyset$ , so*

$$|\beta(f_1) - \beta(f_2)| \leq \frac{1}{2} m(f_1 f_2) m(f_1 f_2^{-1}) \quad (2.17)$$

*If  $f_1, f_2$  and  $g$  are in  $\mathcal{M}$  with  $\text{fix}(f_1) = \text{fix}(f_2)$ , then*

$$|\gamma(f_1, g) - \gamma(f_2, g)| \leq \frac{1}{16} m(f_1 f_2) m(f_1 f_2^{-1}) m(g)^2 \quad (2.18)$$

*both of above two inequalities hold sharp.*

*Proof.* Since

$$\text{tr}([A_1, A_2]) - 2 = \gamma(f_1, f_2) = 0$$

$$(\operatorname{tr}(A_1) - \operatorname{tr}(A_2))^2 = (\operatorname{tr}(A_1 A_2) - 2) (\operatorname{tr}(A_1 A_2^{-1}) - 2)$$

replacing  $A_2$  by  $-A_2$ , we get

$$(\operatorname{tr}(A_1) + \operatorname{tr}(A_2))^2 = (\operatorname{tr}(A_1 A_2) + 2) (\operatorname{tr}(A_1 A_2^{-1}) + 2)$$

therefore,

$$\left\{ \begin{aligned} (\beta(f_1) - \beta(f_2))^2 &= (\operatorname{tr}^2(A_1) - \operatorname{tr}^2(A_2))^2 \\ &= (\operatorname{tr}^2(A_1 A_2) - 4) (\operatorname{tr}^2(A_1 A_2^{-1}) - 4) \\ &= \beta(f_1 f_2) \beta(f_1 f_2^{-1}) \end{aligned} \right. \quad (2.19)$$

Thus our first required inequality follows. Now

$$\gamma(f_1, g) - \gamma(f_2, g) = (\beta(f_1) - \beta(f_2)) \beta(g) R$$

Thus,

$$\begin{aligned} |\gamma(f_1, g) - \gamma(f_2, g)| &= |\beta(f_1 f_2)|^{1/2} |\beta(f_1 f_2^{-1})|^{1/2} |\beta(g)| |R| \\ &= \frac{1}{4} S m(f_1 f_2) m(f_1 f_2^{-1}) m(g)^2 \\ &\leq \frac{1}{16} m(f_1 f_2) m(f_1 f_2^{-1}) m(g)^2 \end{aligned} \quad (2.20)$$

For

$$f_1 = a^2 z, f_2 = b^2 z \text{ and } g = \frac{cz + d}{dz + c}$$

the above equality holds.

□

**Lemma 2.1.7.** *For  $z$  and  $w$  in  $H$ , we have*

$$\cosh^2(\varrho_H(z, w)) \leq \frac{8 - q(z, \bar{z})^2}{q(z, \bar{z})^2} \frac{8 - q(w, \bar{w})^2}{q(w, \bar{w})^2} \quad (2.21)$$

where  $e_H$  denotes the hyperbolic distance in  $H$  of curvature  $-1$ . So the equality can

hold, if  $|z| = |w| = 1$  and  $\operatorname{Re}(z) + \operatorname{Re}(w) = 0$

**Lemma 2.1.8.** *Let  $f, g \in \mathcal{M}$  and  $f(H)=g(H)=H$ . If  $\gamma(f, g) < 0$  and both the transformations are hyperbolic, then  $\exists h \in \mathcal{M}$  st  $h(H)=H$  and*

$$\beta(f_1) = \frac{1}{2}m(f_1)^2, \quad \beta(g_1) = \frac{1}{2}m(g_1)^2 \quad (2.22)$$

where  $f_1 = hfh^{-1}$  and  $g_1 = hgh^{-1}$ . If  $f$  is hyperbolic and  $g$  is elliptic, then

$$|\gamma(f_2, g_2)| = \frac{1}{16}m(f_2)^2 m(g_2)^2 \quad (2.23)$$

where  $f_2 = hfh^{-1}$  and  $g_2 = hgh^{-1}$ .

*Proof.* Let  $z_1, z_2$  be the fixed points of  $f$  and  $w_1, w_2$  be the fixed points of  $g$ .

Case1: Both  $f$  and  $g$  are hyperbolic. Then  $z_1, z_2, w_1, w_2 \in \mathbb{R}$  and  $\neq 0$  st

$$\frac{(z_1 - w_1)(z_2 - w_2)}{(z_1 - w_2)(z_2 - w_1)} = \frac{\gamma(f, g)}{\beta(f)\beta(g)} \frac{(z_1 - z_2)^2 (w_1 - w_2)^2}{(z_1 - w_2)^2 (z_2 - w_1)^2} = -t^2 \quad (2.24)$$

For mapping  $z_1, z_2, w_1, w_2$  onto  $0, \infty, t, -t^{-1}$  and  $H$  onto itself, we can obtain  $h \in \mathcal{M}$  by replacing  $t$  with  $-t$ , if necessary. And thus we get  $\operatorname{fix}(f_1) = \{0, \infty\}$  and  $\operatorname{fix}(g_1) = \{t, -t^{-1}\}$ ,

$$q(0, \infty) = q(t, -t^{-1}) = 2$$

and thus first two equalities of lemma follow.

Case2:  $f$  is hyperbolic and  $g$  is elliptic and  $w_2 \in H$ .  $z_1, z_2 \in \mathbb{R}$  and  $w_1 = \bar{w}_2$ , choose  $t \in (0, \infty)$  so that

$$\frac{(z_1 - w_1)(z_2 - w_2)}{(z_1 - w_2)(z_2 - w_1)} = \frac{(-t + it^{-1})(t - it^{-1})}{(-t - it^{-1})(t + it^{-1})} \quad (2.25)$$

Thus an  $h \in \mathcal{M}$  maps  $z_1, z_2, w_1, w_2$  onto  $-t, t, -it^{-1}, it^{-1}$  and  $H$  onto itself. Thus  $\operatorname{fix}(f_2) = \{-t, t\}$  and  $\operatorname{fix}(g_2) = \{-it^{-1}, it^{-1}\}$ ,

$$2q(\pm t, \pm it^{-1})^2 = 8 - q(-t, t)^2 = 8 - q(-it^{-1}, it^{-1})^2 \quad (2.26)$$

and our third equality of lemma follows.  $\square$

## 2.2 The chordal and hyperbolic norm

**Lemma 2.2.1.** *Suppose that  $f$  in  $\mathcal{M}$  has fixed points  $-r, r$  and multiplier  $a^2$  where  $0 < r \leq 1$  and  $\operatorname{Re}(a) \geq 0$ . Then*

$$d(f) = \begin{cases} 4 \left( r \left| \frac{a+1}{a-1} \right| + \frac{1}{r} \left| \frac{a-1}{a+1} \right| \right)^{-1} & \text{if } r \left| \frac{a+1}{a-1} \right| \geq 1 \\ 2 & \text{if } r \left| \frac{a+1}{a-1} \right| < 1 \end{cases} \quad (2.27)$$

**Lemma 2.2.2.** *For  $f$  in  $\mathcal{M}$  having a fixed point,*

$$d(f) = \begin{cases} 4 \left( \frac{4}{m(f)} + \frac{m(f)}{4} \right)^{-1} & \text{if } \frac{4}{m(f)} \geq 1 \\ 2 & \text{if } \frac{4}{m(f)} < 1 \end{cases} \quad (2.28)$$

**Theorem 2.2.3.** *If  $f$  is in  $\mathcal{M} \setminus \{ \text{id} \}$  with  $d(f) < 2$ . and  $f$  has one fixed point,*

$$m(f) = \frac{4d(f)}{2 + (4 - d(f)^2)^{1/2}} \quad (2.29)$$

**Corollary 2.2.3.1.** *If  $f$  is in  $\mathcal{M}$ , then*

$$m(f)^2 \leq \frac{8d(f)^2}{4 - d(f)^2}$$

*with equality if and only if  $f$  is either the identity or hyperbolic with antipodal fixed points.*

## 2.3 Lower bounds for the chordal and matrix norms

**Lemma 2.3.1.** *Suppose that  $D$  is a disk or half plane in  $\mathbb{C}$ . Then there exists  $h$  in  $\mathcal{M}$  such that  $h(D) = H$  or  $h(D) = B$  and such that for each  $f$  in  $\mathcal{M}$  with  $f(D) = D$ ,*

$$m(f) \geq m(f_1), \quad d(f) \geq d(f_1), \quad e(f) \geq e(f_1)$$

*where  $f_1 = hfh^{-1}$*

*Proof.* We need only consider the case where  $h(D)=B$  as we have the chordal isometry  $g = \frac{z-i}{z+i}$  mapping  $H$  onto  $B$ . Now let Set  $h(z) = \frac{z}{r}$ . Then  $f_1(B) = B$ ,  $f$  and  $f_1$  are

represented by

$$A = \begin{pmatrix} a & br \\ br^{-1} & \bar{a} \end{pmatrix}, \quad A_1 = \begin{pmatrix} a & b \\ b & \bar{a} \end{pmatrix}, \quad |a|^2 - |b|^2 = 1$$

and

$$m(f)^2 - m(f_1)^2 = 4|b|^2 (r - r^{-1})^2 \geq 0$$

and our first and third inequalities follow. The second part follows from equation, when  $f$  has one fixed point. If  $f$  has two fixed points  $z_1$  and  $z_2$ , then  $|z_1| |z_2| = r^2$

$$q(z_1, z_2) \leq q(h(z_1), h(z_2))$$

and again second inequality follows since  $d(f)$  is nonincreasing function of  $q = q(z_1, z_2)$ .

□

[Remarks] There exists no positive universal lower bound for the chordal norms of both generators of a nonelementary discrete subgroup  $\langle f, g \rangle$  of  $\mathcal{M}$ . for if

$$f = a^2 z, \quad g = \frac{(b^2 + 1)z + 2b}{2bz + (b^2 + 1)},$$

where  $1 < b < a < \infty$ , then  $\langle f, g \rangle$  is a nonelementary discrete Fuchsian group and

$$d(f) = 2 \frac{a^2 - 1}{a^2 + 1} \rightarrow 0, \quad d(g) = \frac{4b}{b^2 + 1} \rightarrow 2$$

as  $a \rightarrow 1$  and hence  $b \rightarrow 1$ .

## Chapter 3

# Lower Bounds for Hyperbolic norms

If  $\langle f, g \rangle$  is nonelementary and discrete  $\exists$  an absolute constant  $\varrho$  st

$$\max(\varrho(f), \varrho(g)) \geq \varrho \quad (3.1)$$

**Lemma 3.0.1.** *For  $f, g \in \mathcal{M}$ , we have*

$$\varrho(fg) \leq \varrho(f) + \varrho(g), \quad \varrho(f^{-1}) = \varrho(f) \quad (3.2)$$

*Proof.* The above result comes from using the triangle inequality and the facts that  $\tilde{f}$  is a hyperbolic isometry and that  $\tilde{f}g = \tilde{f}\tilde{g}$  □

**Lemma 3.0.2.** *Suppose that  $\langle f, g \rangle$  is a discrete subgroup of  $\mathcal{M}$  and that  $f$  and  $g$  have no common fixed points and are not both of order 2. If*

$$\varrho(f) + \varrho(g) < 0.1145, \quad (3.3)$$

*then  $fg$  and  $fg^{-1}$  are elliptic.*

*Proof.* Suppose that  $fg$  is not elliptic. Then  $gf$  is also not elliptic,  $\langle fg, gf \rangle$  is a

discrete subgroup of  $\mathcal{M}$  and

$$\max(\varrho(fg), \varrho(gf)) \leq \varrho(f) + \varrho(g) < 0.1145$$

Thus  $\langle fg, gf \rangle$  is elementary and as  $fg$  and  $gf$  are both parabolic or both loxodromic, we have

$$\text{fix}(fg) = \text{fix}(gf) \quad (3.4)$$

Since  $f$  and  $g$  have no common fixed points, there exists a  $\phi$  of order 2 in  $M$ , the Lie product of  $f$  and  $g$ , st  $f = \phi f^{-1} \phi^{-1}$  and  $g = \phi g^{-1} \phi^{-1}$ . Then

$$gf = \phi g^{-1} f^{-1} \phi^{-1} = \phi(fg)^{-1} \phi^{-1} \quad (3.5)$$

Thus

$$\text{fix}(fg) = \text{fix}(gf) = \phi(\text{fix}(fg)) \quad (3.6)$$

By conjugation we may assume that  $\{\infty\} \subset \text{fix}(fg) \subset \{0, \infty\}$ . If  $\text{fix}(fg) = \{\infty\}$ , then  $\phi(\infty) = \infty$  by 3.6,  $fg = z + a$  and  $\phi = -z + b$  where  $a, b \in (C)$  and thus by using 3.5 we have

$$gf = \phi(fg)^{-1} \phi^{-1} = -((-z + b) - a) + b = z + a = fg$$

Hence  $f$  and  $g$  commute and

$$\text{fix}(f) = \text{fix}(g) \quad (3.7)$$

contradicting the hypothesis that  $f$  and  $g$  have no common fixed points. If  $\text{fix}(fg) = \{0, \infty\}$ , then 3.6 implies that  $\phi$  interchanges or fixes 0 and  $\infty$ . In the first case,  $fg = az$  and  $\phi = bz^{-1}$  where  $a, b \in \mathbb{C} \setminus \{0\}$ ,

$$gf = \phi(fg)^{-1} \phi^{-1} = b(a^{-1}(bz^{-1}))^{-1} = az = fg$$

and 3.7 follows. In the second case  $(fg)^{-1}$  and  $\phi$  commute,

$$gf = \phi(fg)^{-1} \phi^{-1} = (fg)^{-1}, \quad f^2 = g^{-2} \neq id$$

and we again obtain 3.7. Thus  $fg$  must be elliptic.

If we replace  $g$  by  $g^{-1}$ , we obtain  $fg^{-1}$  is elliptic.  $\square$

**Lemma 3.0.3.** *Suppose that  $f$  and  $g$  in  $\mathcal{M}$  have no common fixed points, that  $tr(f)$ ,  $tr(fg)$ ,  $tr(fg^{-1})$  are real and that either  $f$  is not of order 2 or  $tr(g)$  is also real. Then  $\langle f, g \rangle$  is a Fuchsian or a purely elliptic group.*

*Proof.* Suppose that  $f$  has two fixed points. By means of preliminary conjugation assume that

$$f = \alpha^2 z, \quad g = \frac{az + b}{cz + d} \quad (3.8)$$

where  $\alpha^2 \neq 1$ ,  $ad-bc=1$  and  $bc \neq 0$ . If  $f$  is hyperbolic, then the hypothesis that

$$tr(fg) = \alpha a + \frac{d}{\alpha}, \quad tr(fg^{-1}) = \frac{a}{\alpha} + \alpha d$$

are real implies that  $a$  and  $d$  are real. Hence  $bc$  is real,

$$Im(cg(z)) = \frac{Im((acz + bc)(\bar{c}z + d))}{|cz + d|^2} = \frac{Im(cz)}{|cz + d|^2}$$

and  $f$  and  $g$  map  $D = \{z \in \mathbb{C} : Im(cz) > 0\}$  onto itself. If  $f$  is elliptic, then  $|\alpha| = 1$ ,

$$tr(fg) = \alpha a + \bar{\alpha} d, \quad tr(fg^{-1}) = \bar{\alpha} a + \alpha d$$

are real and the hypothesis that  $\alpha^2 \neq -1$  or  $a+d$  is real implies that  $a = \bar{d}$  whence  $\alpha^2 - 1 = bc \neq 0$ . when  $|a| > 1$ , we can choose  $r > 0$  so that  $r^2|c|^2 = |a|^2 - 1$ ; then

$$g = \frac{az + r^2 \bar{c}}{cz + \bar{a}}$$

and hence  $f$  and  $g$  map  $D = \{z : |z| < r\}$  onto itself. When  $|a| < 1$ ,  $g$  is elliptic with fixed points given by

$$z = \frac{i}{c}(Im(a) \pm (1 - (Re(a))^2)^{\frac{1}{2}})$$

and the segment joining these points contains the origin. Hence the axes of  $f$  and  $g$  intersect in  $\tilde{H}$  and  $\langle f, g \rangle$  is purely elliptic.

Suppose that  $f$  has one fixed point. By conjugation assume that

$$f = z + \alpha, \quad g = \frac{az}{cz + d} \quad (3.9)$$

where  $\alpha c \neq 0$  since  $\text{fix}(f) \cap \text{fix}(g) = \emptyset$ . Then

$$\text{tr}(fg) = a + d + \alpha c, \quad \text{tr}(fg^{-1}) = a + d - \alpha c,$$

and  $\text{tr}(g)$  and  $\alpha c$  are real. If  $g$  is hyperbolic or elliptic, the desired conclusion follows by interchanging  $f$  and  $g$ . If  $g$  is parabolic, then  $a=d$  and  $f$  and  $g$  both map  $D = \{z \in \mathbb{C} : \text{Im}(\bar{\alpha}z) > 0\}$  onto itself.  $\square$

**Lemma 3.0.4.** *Suppose that  $\langle f, g \rangle$  is a nonelementary discrete subgroup of  $\mathcal{M}$ . Then*

$$\varrho(f) + \varrho(g) \geq 0.1145 \quad (3.10)$$

*Proof.* Suppose that the above inequality doesn't hold. Then  $f$  or  $g$ , say  $f$ , is elliptic. Suppose that  $f$  is not of order 2. Then  $fg$  and  $fg^{-1}$  are elliptic and thus  $\text{tr}(f)$ ,  $\text{tr}(fg)$ ,  $\text{tr}(fg^{-1})$  are real. Hence  $\langle f, g \rangle$  is Fuchsian and

$$\varrho(f) + \varrho(g) \geq \max(\varrho(f), \varrho(g)) \geq 0.262$$

, which is a contradiction.

Now suppose that  $f$  is of order 2, let  $\delta$  denote the hyperbolic distance from  $j$  to the axis of  $f$  and choose  $x$  on  $\text{axis}(f)$  so that  $\delta = \varrho_{\tilde{H}}(j, x)$ . Next choose  $h$  in  $M$  so that  $\tilde{h}(\tilde{H}) = \tilde{H}$  and  $\tilde{h}(x) = j$ , and set  $f_1 = hfh^{-1}$  and  $g_1 = hgh^{-1}$ . Then  $\tilde{f}_1(j) = j$  whence  $\varrho(f_1) = 0$ ,

$$\sinh\left(\frac{\varrho(f)}{2}\right) = \sinh\delta = \sinh(\delta(h))$$

and thus

$$\varrho(g_1f_1) + \varrho(f_1) = \varrho(g_1) \leq 2\varrho(h) + \varrho(g) = \varrho(f) + \varrho(g) < 0.1145$$

Since  $\langle g_1f_1, f_1 \rangle$  is nonelementary and discrete,  $g_1f_1$  is not of order 2 and also  $g_1$  is

elliptic. Hence  $g$  is elliptic with  $ord(g) > 2$  and the above argument interchanging  $f$  and  $g$  results in contradiction.  $\square$



# Chapter 4

## Continued fractions

### 4.1 Introduction

**Definition.** An infinite **continued fraction** is defined as an expression of the form

$$\mathbf{K}(a_n | b_n) = \frac{a_1}{b_1 + \frac{a_2}{b_2 + \frac{a_3}{b_3 + \dots}}}, \quad (4.1)$$

where the  $a_i$  and  $b_j$  are infinite sequences of complex numbers with each  $a_i \neq 0$ .

The continued fraction 4.1 is said to converge to, or to have value,  $\mathbf{K}$  if the sequence of truncated continued fractions

$$\frac{a_1}{b_1}, \quad \frac{a_1}{b_1 + \frac{a_2}{b_2}}, \quad \frac{a_1}{b_1 + \frac{a_2}{b_2 + \frac{a_3}{b_3}}} \dots \quad (4.2)$$

converges to  $\mathbf{K}$ .

We can consider the continued fraction 4.1 as simply the pair of complex sequences  $a_n$  and  $b_n$  in which no  $a_i$  is zero. Given two such sequences we can form the sequence  $s_n$  of Möbius maps defined by

$$s_n(z) = \frac{a_n}{b_n + z}, \quad (4.3)$$

and  $s_n(\infty) = 0$ . Conversely, as any Möbius map  $s$  with  $s(\infty) = 0$  can be expressed uniquely in the form  $s(z) = a/(b + z)$ , we can identify the class of continued fractions with the class of sequences  $s_n$  of Möbius maps with  $s_n(\infty) = 0$  for all  $n$ . With this

identification the truncated continued fractions in 4.2 are  $s_1(0)$ ,  $s_1s_2(0)$ ,  $\dots$ , where, in general,  $fg$  denotes the map  $z \rightarrow f(g(z))$ , so that the convergence of the continued fraction is equivalent to the convergence of the complex sequence  $s_1 \cdots s_n(0)$ .

## 4.2 Continued fractions

Continued fraction 4.1 can be viewed as the sequence of Möbius maps  $s_n$  in 4.3. We shall refer to this continued fraction as the continued fraction generated by  $s_n$  and use the notation  $[s_1, s_2, \dots]$  for the continued fraction 4.1. We write  $S_n = s_1 \cdots s_n$  and say  $s_j$  generates  $S_j$ .

In general, given a sequence of maps  $f_j$  of a set into itself, we can construct inner and outer composition sequences as  $F_n = f_1 \cdots f_n$  and  $G_n = f_n \cdots f_1$ , respectively.

**Theorem 4.2.1** (The Stern-Stolz Theorem). *If  $\sum_n |b_n|$  converges then  $K(1|b_n)$  diverges.*

For eg., if  $s(z)=1/z$ , then the continued fraction  $[s, s, \dots]$  has approximants  $\infty, 0, \infty, 0, \dots$  and so diverges. In the Stern-Stolz theorem,  $s_n(z) = 1/(b_n + z)$  and  $b_n \rightarrow 0$ , so that  $s_n \rightarrow s$ , and we might expect that  $[s_1, s_2, \dots]$  diverges at least if  $b_n \rightarrow 0$  sufficiently quickly.

**Remark.** (i) *To measure the rate of convergence one uses the quantities equivalent to using the norms. For eg., if one intends to measure the rate in terms of coefficients, then matrix norm is geometrically significant.*

(ii) *If  $s_n(z) = 1/(b_n + z)$  then  $|b_n|$  shows the distance that  $s_n$  moves the distinguished point in our model of hyperbolic 3-space. The proof of the Stern-Stolz Theorem is then nothing more than an application of the triangle inequality between hyperbolic distances.*

### 4.3 Complex Möbius maps

Let  $\varphi$  be the map from  $\tilde{\mathbb{C}}$  onto the unit sphere in  $\mathbb{R}^3$  by stereographic projection. This map provides us with the chordal metric on  $\tilde{\mathbb{C}}$ , namely

$$q(z_1, z_2) = \frac{2|z_1 - z_2|}{(|z_1|^2 + 1)^{1/2}(|z_2|^2 + 1)^{1/2}} = |\varphi(z_1) - \varphi(z_2)| \quad (4.4)$$

$(\tilde{\mathbb{C}}, q)$  is a compact metric space.

A complex Möbius map is a map  $g$  of the form

$$g(z) = \frac{az + b}{cz + d}, \quad ad - bc \neq 0$$

Each complex Möbius map  $g$  is a homeomorphism of  $\tilde{\mathbb{C}}$  onto itself. Every chordal isometry is a Möbius map ( of  $z$  or  $\bar{z}$  ). Note that  $z \mapsto 1/z$  is a chordal isometry as  $q(1/z_1, 1/z_2) = q(z_1, z_2)$ .

Each Möbius map is a Lipschitz map wrt chordal metric. Indeed, if  $g(z) = (az+b)/(cz+d)$ , where  $ad-bc=1$ , then

$$\begin{aligned} \frac{q(g(z), g(w))}{q(z, w)} &= \left( \frac{|g(z) - g(w)|}{|z - w|} \right) \sqrt{\frac{(1 + |z|^2)(1 + |w|^2)}{(1 + |g(z)|^2)(1 + |g(w)|^2)}} \\ &= \frac{1}{|(cz + d)(cw + d)|} \sqrt{\frac{(1 + |z|^2)(1 + |w|^2)}{(1 + |g(z)|^2)(1 + |g(w)|^2)}} \\ &= \frac{\sqrt{1 + |z|^2} \sqrt{1 + |w|^2}}{\sqrt{|az + b|^2 + |cz + d|^2} \sqrt{|aw + b|^2 + |cw + d|^2}} \end{aligned}$$

Now applying the Cauchy-Schwarz inequality to each term, we get

$$|az + b|^2 + |cz + d|^2 \leq (|a|^2 + |b|^2 + |c|^2 + |d|^2)(|z|^2 + 1)$$

and define the norm  $\|g\|^2$  of  $g$  as

$$\|g\|^2 = |a|^2 + |b|^2 + |c|^2 + |d|^2$$

Thus

$$\frac{q(g(z), g(w))}{q(z, w)} \geq \frac{1}{\|g\|^2}$$

Since  $ad-bc=1$ ,  $\|g\| = \|g^{-1}\|$ . After putting  $u=g(z)$  and  $v=g(w)$ , we get

$$\frac{q(g^{-1}(u), g^{-1}(v))}{q(u, v)} = \frac{q(z, w)}{q(g(z), g(w))} \leq \|g\|^2 = \|g^{-1}\|^2$$

As this is true for all  $u, v$  and  $g^{-1}$ , after again interchanging the variables we get  $\forall z, w$  and  $g$  that

$$\frac{q(g(z), g(w))}{q(z, w)} \leq \|g\|^2$$

The best Lipschitz constant of a Möbius map  $g$  is given as

$$L(g) = \sup_{z \neq w} \frac{q(g(z), g(w))}{q(z, w)};$$

Thus

$$L(g) = \|g\|^2 \tag{4.5}$$

The vector space of all  $2 \times 2$  matrices is equipped with the norm

$$\|A\|^2 = |a|^2 + |b|^2 + |c|^2 + |d|^2, \quad A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

and  $\|AB\| \leq \|A\| \|B\|$  for all  $A$  and  $B$ . Consider the following homomorphism

$$\phi : A \mapsto g_A, \quad A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad g_A(z) = \frac{az + b}{cz + d} \tag{4.6}$$

from the group  $GL(2, \mathbb{C})$  onto the group  $\mathcal{M}$ .

**Theorem 4.3.1.** *Let  $\phi : SL(2, \mathbb{C}) \longrightarrow \mathcal{M}$  be the map given in 4.6, where these spaces have the norm  $\|\cdot\|$  and metric  $q_0$ , respectively. Then  $\phi$  is an open, continuous,*

surjective map and the restriction of  $\phi$  to any open ball of radius  $\sqrt{2}$  is injective ( and hence a homeomorphism).

**Theorem 4.3.2.** Suppose that a Möbius map  $g$  is represented by a unimodular matrix  $G$ . Then  $q_0(g, I) \leq \sqrt{6}\|G - I\|$ .

**Theorem 4.3.3.** Any Möbius map  $g$  can be represented by a unimodular matrix  $G$  st

$$\|G - I\|^2 \leq \frac{4q_0(g, I)^2}{4 - q_0(g, I)^2}$$

**Theorem 4.3.4.** For a  $2 \times 2$  unitary matrix  $A$ , conjugation  $X \mapsto AXA^{-1}$  is an isometry of vector space of  $2 \times 2$  complex matrices wrt the metric  $\|X - Y\|$ . If  $f$  is the Möbius transformation  $\phi(A)$ ; then  $f$  is the chordal isometry. In other words,  $\forall g, h \in \mathcal{M}$ ,  $q_0(fgf^{-1}, fhf^{-1}) = q_0(g, h)$ , so that the conjugation  $g \mapsto fgf^{-1}$  is an isometry of  $(\mathcal{M}, q_0)$ . Hence, every chordal isometry is of the form  $\phi(A)$  for some unitary matrix  $A$ .

**Theorem 4.3.5.** If a sequence  $g_n$  of Möbius maps converges at three distinct points of  $\tilde{\mathbb{C}}$  to three distinct values, then the sequence  $g_n$  converges uniformly on  $\tilde{\mathbb{C}}$  to some Möbius map  $g$ .

**Definition.** Let  $g(\neq I)$  be any Möbius transformation. Then

- (i)  $g$  is parabolic iff  $g$  has a unique fixed point in  $\tilde{\mathbb{C}}$ ;
- (ii)  $g$  is loxodromic iff  $g$  has exactly two fixed points in  $\tilde{\mathbb{R}}^3$ ;
- (iii)  $g$  is elliptic iff  $g$  has infinitely many fixed points in  $\tilde{\mathbb{R}}^3$ .

**Definition.** Let  $g$  be a loxodromic transformation. We say that  $g$  is hyperbolic if  $g(D)=D$  for some open disc( or half-plane)  $D$  in  $\tilde{\mathbb{C}}$ ; otherwise  $g$  is said to be strictly loxodromic.

**Theorem 4.3.6.** A möbius map  $g$  is

- (1) parabolic if it is conjugate to  $z \mapsto z + 1$ ;
- (2) elliptic if it is conjugate to  $z \mapsto kz$  for some  $k$  with  $|k| = 1$ ,  $k \neq 1$ ;
- (3) hyperbolic if it is conjugate to  $z \mapsto kz$  for some  $k > 0$  and  $k \neq 1$

*Proof.* We know that any Möbius transformation is conjugate to any one of the standard forms. Let us observe the fixed points of the standard forms. The action of  $m_k$

in  $\tilde{\mathbb{R}}^3$  is given as

$$m_k(z + tj) = kz + |k|tj \quad (k \neq 1); \quad (4.7)$$

$$m_1(z + tj) = z + 1 + tj, \quad (4.8)$$

These equations enable us to find the fixed points of each  $m_k$ . And we have:

- (i)  $m_1$  fixes  $\infty$  but no other point in  $\tilde{\mathbb{R}}^3$  ;
- (ii) if  $|k| \neq 1$ , then  $m_k$  fixes 0 and  $\infty$  but no other points in  $\tilde{\mathbb{R}}^3$  ;
- (iii) if  $|k| = 1$ ,  $k \neq 1$ , then the set of fixed points of  $m_k$  is given by

$$\{tj : t \in \mathbb{R}\} \cup \{\infty\} \quad (4.9)$$

Using the above definition we get our required result.  $\square$

**Theorem 4.3.7.** *For a given Möbius  $g$  we have*

- (1)  $g$  is parabolic iff  $tr^2(g) = 4$ ;
- (2)  $g$  is elliptic iff  $tr^2(g) \in [0, 4)$ ;
- (3)  $g$  is hyperbolic iff  $tr^2(g) \in (4, +\infty)$ ;
- (4)  $g$  is strictly loxodromic iff  $tr^2 \notin [0, \infty)$ .

*Proof.* Suppose that  $g$  is conjugate to the standard form  $m_p$  so that we have

$$tr^2(g) = p + \frac{1}{p} + 2 \quad (4.10)$$

We have  $g$  conjugate to  $m_p$  and  $m_{\frac{1}{p}}$  and to no other  $m_q$ .

If  $g$  is parabolic, then  $g$  is conjugate to  $m_1$  only: so  $p=1$  and we get  $tr^2(g) = 4$ . Conversely, let  $tr^2(g) = 4$ . This implies  $p=1$  and we get  $g$  is parabolic. This proves (1).

Now suppose that  $g$  is elliptic, then  $p = e^{i\theta}$  where  $\theta$  is real and  $\cos\theta \neq 1$ . Then

$$tr^2(g) = 2 + 2\cos\theta \quad (4.11)$$

And we get  $tr^2(g) \in [0, 4)$ . Now, suppose that  $tr^2(g) \in [0, 4)$ . Then we can write  $tr^2(g)$  in the form 4.11 with  $\cos\theta \neq 1$  and then we get  $p = e^{i\theta}, e^{-i\theta}$ . Thus  $|p| = 1, p \neq 1$  and we deduce that  $g$  is elliptic. Hence, this proves (2).

Now let  $tr^2(g) \in (4, +\infty)$ . Then we have solutions  $p = k, \frac{1}{k}$  say, where  $k > 0$ . As both solutions are positive;  $m_p$  necessarily preserves upper half plane and is thus hyperbolic. Thus  $g$  is also hyperbolic. Conversely suppose that  $g$  and hence  $m_p$  is hyperbolic and let  $D$  be a disc invariant under  $m_p$ .  $\forall z \in D$ , the images of  $z$  under the iterates of  $m_p$  are in  $D$  and so

$$\{p^n(z) : n \in \mathbb{Z}\} \subset D \quad (4.12)$$

Because  $|p| \neq 1$ , this shows that  $0$  and  $\infty$  are in the closure of  $D$ . The same argument, but with  $z$  chosen in the exterior of  $D$ , leads to the conclusion that  $0$  and  $\infty$  lie on the boundary of  $D$ . Thus  $D$  is a half-plane and in order to preserve  $D$ , it is important that  $m_p$  leaves invariant each of the half-lines from  $0$  to  $\infty$  on the boundary of  $D$ . Thus  $p > 0$  and hence  $tr^2(g) > 4$ . This proves (3).  $\square$

Obviously,  $\mathcal{M}$  is the disjoint union of  $\{I\}$  and the three classes of parabolic, elliptic and loxodromic maps.

**Theorem 4.3.8.** *Let  $f$  and  $g$  be two Möbius maps neither of which is the identity. Then  $f$  and  $g$  are conjugate in  $\mathcal{M}$  iff  $tr^2(f) = tr^2(g)$ .*

*Proof.* We know that if  $f$  and  $g$  are conjugate then  $tr^2(f) = tr^2(g)$ .

Now suppose that  $tr^2(f) = tr^2(g)$ . Also for some  $p$  and  $q$ ,  $f$  and  $g$  are conjugate to the standard forms  $m_p$  and  $m_q$  respectively. Hence

$$tr^2(m_p) = tr^2(f) = tr^2(g) = tr^2(m_q) \quad (4.13)$$

From 1.13, we get  $p=q$  or  $p = \frac{1}{q}$ . Now we claim that  $m_p$  is conjugate to  $m_{\frac{1}{p}}$ , which is obvious for  $p=1$ . Now for  $p \neq 1$  we have

$$hm_ph^{-1} = m_{\frac{1}{p}}, \quad h(z) = -\frac{1}{z} \quad (4.14)$$

Thus we have  $f$  is conjugate to  $m_p$ ,  $g$  is conjugate to  $m_q$  and  $m_p$  is conjugate to  $m_q$  as  $p=q$  or  $p = \frac{1}{q}$ . Since conjugacy is an equivalence relation, we come to the conclusion that  $f$  is conjugate to  $g$ .

□

Class of loxodromic mpas is hyperbolic iff  $tr^2 \in (4, +\infty)$ , else it is called strictly loxodromic. A loxodromic map is hyperbolic iff it has an invariant disc and also if it can be written as the composition of exactly two inversions whereas most Möbius maps require four inversions.

**Theorem 4.3.9.** *The periodic continued fraction generated by  $s(z) = a/(b+z)$  converges iff  $-b^2/a \notin [0, 4)$ .*

## 4.4 Möbius maps and hyperbolic geometry

We take upper-half plane  $\mathbb{H}$  (given by  $y > 0$ , where  $z=x+iy$ ) to be the hyperbolic plane. The hyperbolic metric  $\rho$  on  $\mathbb{H}$  is derived from the line element  $ds = |dz|/Im[z]$ , and the Möbius maps that map  $\mathbb{H}$  onto itself are the conformal isometries of  $\mathbb{H}$ . The geodesics are the semi-circles in  $\mathbb{H}$  whose centres lie in  $\mathbb{R}$ , together with the 'vertical' half-lines whose initial point lies in  $\mathbb{R}$ .

**Theorem 4.4.1.** *The Möbius group  $\mathcal{M}$  is the group of all conformal orientation-preserving isometries of  $\mathbb{H}^3$ .*

**Theorem 4.4.2.** *Let  $g$  be any complex Möbius map, and let  $I$  be the identity map. Then*

$$||g||^2 = ||I||^2 + 4\sinh^2 \frac{1}{2} \rho(\mathbf{j}, g(\mathbf{j})) \quad (4.15)$$

As  $||I||^2 = 2$  we can also write 4.15 in the form  $||g||^2 = 2\cosh \rho(\mathbf{j}, g(\mathbf{j}))$ .

**Theorem 4.4.3.** *For all  $g$  in  $\mathcal{M}$ , we have*

$$||g||^2 = 2\cosh \rho(\mathbf{j}, g(\mathbf{j})) \quad (4.16)$$

*Proof.* We have

$$g(z) = \frac{az+b}{cz+d}, \quad ad-bc=1; \quad (4.17)$$

Poincare extension of  $g$  is given by

$$g(z + tj) = \frac{(az + b)\overline{(cz + d)} + a\bar{c}t^2 + |ad - bc|tj}{|cz + d|^2 + |c|^2t^2} \quad (4.18)$$

Putting  $z=0$  and  $t=1$ , we get

$$g(j) = \frac{(b\bar{d} + a\bar{c}) + j}{|c|^2 + |d|^2} \quad (4.19)$$

For  $\zeta_1 = z_1 + t_1j$  and  $\zeta_2 = z_2 + t_2j$ , we have

$$\cosh \rho(\zeta_1, \zeta_2) = 1 + \frac{|z_1 - z_2|^2 + (t_1 - t_2)^2}{2t_1t_2} \quad (4.20)$$

We have

$$|b\bar{d} + a\bar{c}|^2 + 1 = |b\bar{d} + a\bar{c}|^2 + |ad - bc|^2 = (|a|^2 + |b|^2)(|c|^2 + |d|^2) \quad (4.21)$$

Using the identity 4.21 and substituting  $z_1 = 0, t_1 = 0$  (so  $\zeta_1 = j, \zeta_2 = g(j)$ ) in 4.20, we get our required result.  $\square$

**Theorem 4.4.4.** *Let  $g$  be any complex Möbius map. Then the best Lipschitz constant (relative the chordal metric) for  $g$  is given by*

$$L(g) = \exp[\rho(\mathbf{j}, g(\mathbf{j}))] \quad (4.22)$$

From 4.15 and 4.22, we get

$$L(g) = \frac{1}{2}(\|g\|^2 + \sqrt{\|g\|^4 - 4}) \quad (4.23)$$

Thus,

$$\frac{1}{2}\|g\|^2 \leq L(g) \leq \|g\|^2 \quad (4.24)$$

and  $L(g) \rightarrow \|g\|^2$  as  $\|g\| \rightarrow +\infty$ . Now, since  $g^{-1}$  is an isometry,  $\rho(\mathbf{j}, g(\mathbf{j})) = \rho(g^{-1}(\mathbf{j}), \mathbf{j})$ , we have from 4.22 that  $\forall g$ ,

$$L(g^{-1}) = L(g) \geq 1 \quad (4.25)$$

**Theorem 4.4.5.** *For any Möbius  $g$  represented by  $A$  the following are equivalent:*

- (a)  $A \in SU(2, \mathbb{C})$ ;
- (b)  $\|g\|^2 = 2$ ;
- (c)  $L(g)=1$ ;
- (d)  $\rho(\mathbf{j}, g(\mathbf{j})) = 0$ ;
- (e)  $g$  is a chordal isometry.

*Proof.* (b) directly follows from (a) as  $A$  is unitary.

Putting  $\|g\|^2 = 2$  in 4.23, we get  $L(g)=1$ . Hence (b) $\Rightarrow$ (c).

Now (d) follows from (c) by putting  $L(g)=1$  in 4.22.

Now we prove equivalence of (a) and (e). Observe that  $g$  will be isometry iff  $\forall z$ ,

$$\frac{|g^{(1)}(z)|}{1 + |g(z)|^2} = \frac{1}{1 + |z|^2} \quad (4.26)$$

Thus (e) holds iff  $\forall z$ ,

$$1 + |z|^2 = |az + b|^2 + |cz + d|^2 \quad (4.27)$$

or equivalenttly,

$$1 + |z|^2 = (|a|^2 + |c|^2)|z|^2 + (|b|^2 + |d|^2) + 2\text{Re}(a\bar{b} + c\bar{d})z \quad (4.28)$$

This is equivalent to

$$|a|^2 + |c|^2 = |b|^2 + |d|^2 = 1 \quad (4.29)$$

and

$$a\bar{b} + c\bar{d} = 0 \quad (4.30)$$

which in turn is equivalent to  $\bar{A}^t A = I$  and this is (a).  $\square$

**Theorem 4.4.6.** *Suppose that the Möbius maps  $f$  and  $g$  satisfy  $q_0(f, g) < \sqrt{2}$ . Then  $L(g) \leq 18L(f)$ .*

## 4.5 Convergence

**Definition.** The continued fraction 4.1 is said to converge strongly to a value  $\alpha$  in  $\mathbb{C}$  if

- (i) there are distinct  $u$  and  $v$  in  $\tilde{\mathbb{C}}$  st  $S_n(u) \rightarrow \alpha$  and  $S_n(v) \rightarrow \alpha$  as  $n \rightarrow \infty$ , and
- (ii) the set  $\{S_n^{-1}(\infty) : n = 1, 2, 3, \dots\}$  is not dense in  $\tilde{\mathbb{C}}$ .

**Definition.** The continued fraction 4.1 converges generally, or is generally convergent, to a value  $\alpha$  in  $\tilde{\mathbb{C}}$  if  $\exists$  sequences  $u_n$  and  $v_n$  in  $\tilde{\mathbb{C}}$  st

$$\lim_{n \rightarrow \infty} S_n(u_n) = \lim_{n \rightarrow \infty} S_n(v_n) = \alpha, \quad \lim_{n \rightarrow \infty} q(u_n, v_n) > 0$$

**Definition.** A sequence  $g_n$  of Möbius maps converges generally, or is generally convergent, to a value  $\alpha$  in  $\tilde{\mathbb{C}}$  if  $\exists$  sequences  $u_n$  and  $v_n$  st

$$\lim_{n \rightarrow \infty} g_n(u_n) = \lim_{n \rightarrow \infty} g_n(v_n) = \alpha, \quad \lim_{n \rightarrow \infty} q(u_n, v_n) > 0 \quad (4.31)$$

**Theorem 4.5.1.** *A sequence  $g_n$  of Möbius maps converges generally to  $\alpha$  in  $\tilde{\mathbb{C}}$  iff  $g_n \rightarrow \alpha$  on  $\mathbb{H}^3$ .*

We know that a sequence of Möbius maps converges pointwise on  $\mathbb{H}^3$  to a point  $\alpha$  in  $\tilde{\mathbb{C}}$  iff it converges to  $\alpha$  uniformly on compact subsets of  $\mathbb{H}^3$ . This comes out of the facts that Each Möbius map is an isometry of  $\mathbb{H}^3$  and that the Euclidean length of a 'ruler' of fixed hyperbolic length shrinks to zero as the ruler approaches the boundary of hyperbolic space (as demonstrated by Escher's tessellations of unit disc in two-dimensions). The proof of the above theorem makes use of the following geometric lemma.

**Lemma 4.5.2.** *Let  $\gamma$  be a geodesic in  $\mathbb{H}^3$  whose endpoints  $u$  and  $v$  in  $\tilde{\mathbb{C}}$  are at a chordal distance  $\delta$  apart. Then  $\gamma$  passes within a hyperbolic distance  $\cosh^{-1}(2/\delta)$  of the point  $j$ .*

## 4.6 Strong divergence

While convergence (whether classical or general) includes convergence to  $\infty$ , the divergence of a sequence of Möbius maps implies an oscillatory behaviour. Stronger notion of divergence can only be understood by considering the action of Möbius maps on  $\mathbb{H}^3$ . An extreme case in which notion of general convergence fails is when the sequence  $g_n(\mathbf{j})$  does not even escape to the boundary of hyperbolic space.

**Definition.** A sequence  $g_n$  of complex Möbius maps is strongly divergent if for one (and hence all)  $x$  in  $\mathbb{H}^3$ , the sequence  $g_n(x)$  lies in a compact subset of  $\mathbb{H}^3$ . A strongly divergent sequence cannot converge generally.

We have

$$||g_n||^2 = ||I||^2 + 4\sinh^2 \frac{1}{2} \rho(\mathbf{j}, g_n(\mathbf{j})) \quad (4.32)$$

**Theorem 4.6.1.** *A sequence  $g_n$  of complex Möbius maps is strongly divergent iff the sequence  $||g_n||$  is bounded.*

**Theorem 4.6.2.** *Let  $g_1, g_2, \dots$  be Möbius maps. If  $\sum_n (||g_n||^2 - 2)^2$  converges, then the sequence  $g_1 \cdots g_n$  is strongly divergent.*

*Proof.* As  $x \rightarrow 0$ ,  $\sinh x \rightarrow x$  and also from 4.32, we know that the following three series

$$\sum_n (||g_n||^2 - 2)^2, \quad \sum_n \sinh \frac{1}{2} \rho(\mathbf{j}, g_n(\mathbf{j})), \quad \sum_n \rho(\mathbf{j}, g_n(\mathbf{j}))$$

converge or diverge together. Assume that  $\rho(\mathbf{j}, g_n(\mathbf{j})) = M$ , say where  $M < \infty$ . Let  $G_0 = I$ , and  $G_n = g_1 \cdots g_n$ .  $g'_j$ s being the isometries of  $\mathbb{H}^3$ , we have

$$\rho(\mathbf{j}, G_n(\mathbf{j})) \leq \sum_{m=0}^{n-1} \rho(G_m(\mathbf{j}), G_{m+1}(\mathbf{j})) = \sum_{m=0}^{n-1} \rho(\mathbf{j}, g_{m+1}(\mathbf{j})) \leq M$$

Thus  $G_n(\mathbf{j})$  lie in some compact subset of  $\mathbb{H}^3$  and hence the sequence  $G_n$  diverges strongly.  $\square$

Unitary maps fix  $\mathbf{j}$ ; that is,  $g(\mathbf{j}) = \mathbf{j}$  iff  $||g||^2 = 2$ . Thus Theorem 4.6.1 says that the inner composition sequence  $g_1 \cdots g_n$  is strongly divergent iff (in some sense) the  $g_n$  approach the subgroup of unitary maps sufficiently rapidly. Thus, in this result we

do not require that  $g_n$  converge, but merely that each  $g_n$  looks increasingly like some unitary map.

The following two results make use of matrix norms.

**Theorem 4.6.3.** *Suppose that  $s(z)=a/(b+z)$  is elliptic,  $s_n(z) = a_n/(b_n + z)$  and  $\sum_n ||s_n - s||$  converges. Then  $\mathbf{K}(a_n|b_n)$  diverges. Also, if  $z_0$  is a fixed point of  $s$ , then  $S_n(z_0)$  converges to some limit, say  $w$ , and then  $S_n^{-1}(w) \longrightarrow z_0$ .*

**Theorem 4.6.4.** *Suppose that  $t_n \longrightarrow t$ , where each  $t_n$  and  $t$  is elliptic. If  $\sum_n ||t_n - t||$  converges then  $t_1 \cdots t_n$  converges to distinct values at the two fixed points of  $t$ , and diverges elsewhere.*



# Bibliography

- [Ahl79] Lars V Ahlfors, *Complex analysis mcgraw-hill*, Inc., New York (1979).
- [And06] James W Anderson, *Hyperbolic geometry*, Springer Science & Business Media, 2006.
- [Bea01] Alan F Beardone, *Continued fractions, discrete groups and complex dynamics*, Computational Methods and Function Theory **2** (2001), no. 1, 535–594.
- [Bea12] Alan F Beardon, *The geometry of discrete groups*, vol. 91, Springer Science & Business Media, 2012.
- [MG91] GJ Martin and FW Gehring, *Inequalities for möbius transformations and discrete groups.*, Journal für die reine und angewandte Mathematik **418** (1991), 31–76.
- [RAR06] John G Ratcliffe, S Axler, and KA Ribet, *Foundations of hyperbolic manifolds*, vol. 149, Springer, 2006.